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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **PF348C1**

First Inventor **Duan et al.**

Title **Human Parotid Secretory Protein**

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (in duplicate)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **70**]

(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Sequence Listing
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]

5. ☒ Declaration [Total Pages **2**]

- a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76. (**3** pages)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☒ paper (10 pages)
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Recordation Papers (cover sheet and
documents (4 pages))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement/ Form PTO/SB/08

☐ Copies of Citations

13. ☒ Preliminary Amendment and Version With Markings to
Show Changes Made

14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: (a) Request Under 37 C.F.R. 1.821(e); (b) Copy of
Associate Power of Attorney; (c) Submission of Formal Drawings and
3 sheets of Formal Figures 1-3.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: 08/993,529; filed December 18, 1997
Prior application information Examiner Gambel, P. Group/Art Unit: 1644

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22195

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

NAME (Print/Type)

Michele M. Wales

Registration No. (Attorney/Agent)

43,975

SIGNATURE

Date

12/18/01

Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete
could be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/18/01

1131 U.S. PTO

FEE TRANSMITTAL

for FY 2002

(Substitute form)

Patent fees are subject to annual revision.

Complete if Known

Total amount of payment		\$ 1364.00	Application Number	Unassigned
			Filing Date	Concurrently Herewith
			First Named Inventor	Duan et al.
			Examiner Name	Unassigned
			Group Art Unit	Unassigned
			Attorney Docket Number	PF348C1

METHOD OF PAYMENT						FEE CALCULATION (continued)																																																																																																																																																																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 08-3425 Deposit Account Name Human Genome Sciences, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>195</td><td>300</td><td>195</td><td>300</td><td>Publication fee for early, voluntary, or normal publication</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>098</td><td>130</td><td>098</td><td>130</td><td>Processing fee, except in provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee for provisional applications</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>145</td><td>100</td><td>145</td><td>100</td><td>Certificate of correction</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>Request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>099</td><td>8,800</td><td>099</td><td>8,800</td><td>Request for <i>inter partes</i> reexamination</td><td></td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Statutory disclaimer</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>091</td><td>1,280</td><td>091</td><td>1,280</td><td>Acceptance of an unintentionally delayed claim for priority</td><td></td></tr> <tr><td>561</td><td>3</td><td>561</td><td>3</td><td>Printed copy of patent, regular service</td><td></td></tr> <tr><td>581</td><td>40</td><td>481</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> </table>						Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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Submitted By		Complete (if applicable)	
Name (Print/Type)	Michele M. Wales	Registration No.:	43,975
Signature:	<i>Michele M. Wales</i>	Telephone	301-610-5772
		Date:	12/18/01

VIA HAND DELIVERY DECEMBER 18th, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DUAN et al.

Application Serial No.: To Be Assigned

Art Unit: To Be Assigned

Filed: Concurrently Herewith

Examiner: To Be Assigned

For: Human Parotid Secretory Protein

Atty. Docket: PF348C1



SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

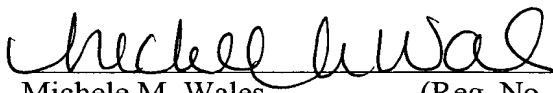
Sir:

Applicants submit herewith Formal Drawings of Figures 1-3 (3 sheets) to replace the originally filed informal drawings of Figures 1-3 (3 sheets).

No fee is believed due for this submission. In the event that a fee is required in connection with this submission, please charge the required fee to Deposit Account No. 08-3425.

Respectfully submitted,

Dated: 12/18/01


Michele M. Wales (Reg. No. 43,975)
Attorney for Applicants

Human Genome Sciences, Inc.
9410 Key West Avenue
Rockville, MD 20850
(301) 610-5772 (phone)

Enclosures
MMW/BM/lcc

VIA HAND DELIVERY DECEMBER 18th, 2001

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For: Human Parotid Secretory Protein

Atty. Docket: PF348C1

REQUEST UNDER 37 C.F.R. § 1.821(e)

Commissioner for Patents
Washington, D.C. 20231

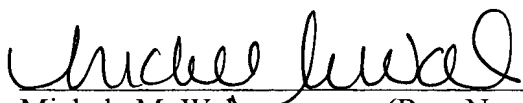
Sir:

The above-identified patent application is a continuation of application Serial No. 08/993,529, filed December 18, 1997. The sequence listing of the instant application is identical to the sequence content of the computer readable sequence listing filed in connection with Application Serial No. 08/993,529, on December 18, 1997.

In accordance with 37 C.F.R. § 1.821(e), please use the computer readable form last-filed in connection with application Serial No. 08/993,529 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the original and Substitute Sequence Listing is included in the specification of the instant application filed herewith. Applicants hereby certify that the paper copy of the Substitute Sequence Listing filed herewith and the computer readable sequence listing last-filed in connection with application Serial No. 08/993,529 are the same and do not include new matter.

Respectfully submitted,

Dated: 12/18/01


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